

**Genetics and evolution of  
vocal learning and language**

**Language  
Evolution**

# PART I

What is the faculty of language, that  
it may have evolved?

# Antecedents

- In 1866, the Linguistic Society of Paris famously banned all discussion of the origins of language.
- The London Philological Society followed suit in 1872.
- More recently, Chomsky has argued that one simply cannot know how language evolved and has even suggested that language may not be the product of natural selection.

# So why should we be ready now?

- Genome Project(s) and other developments in molecular biology.
- Biolinguistics program.
- Advances in Artificial Life, archeology and various other sciences whose results seem a priori relevant.
- Plus every generation has to make its own mistakes...

# But \*WARNING\*

- Handle with care! This territory has been plowed (too) many times.
- Be open-minded and inter-disciplinary! The question is too complex to be answered in any simple-minded way.
- Make sure you understand what it is that you're trying to evolve! (You wouldn't want to attempt to evolve Adaptive Immunity without knowing what *that* is...)

# The problem here is that...

- Just about everyone has a view on what *their* language is.
- Even if it has nothing to do with what the most basic science tells us it is.
- For instance, it is not clear what it really means to say, in customary fashion, that “A language is a system of communication”.
- At the very least, most lasting conditions scientifically unearthed about language have had very little to do with that definition...

# **At the very least, human language is...**

- A natural system (in the sense of Immunity)
- With computational properties
- Somehow connecting “meaning”
- With an explicit form that makes it public
- Which varies within certain parameters
- And which can be acquired by humans in a few months prior to a critical age
- And almost never afterwards (at least perfectly)

# For concreteness we'll concentrate on the computational system

- In large part because that looks a priori like the most uniquely human (including *recursion*)
- And the one which is hardest to understand in evolutionary scenarios.
- Irrelevant myth about its 'function': *Syntax is there to avoid ambiguity* –in order to help communication.
- Ambiguity is rampant, and in fact syntax often *creates* even more.
- Known syntactic conditions *impair* communication!



# Simple example

- A) Who did you say that Peter knows \_\_\_ ?
- B) Who did you say \_\_\_ Peter knows \_\_\_ ?
- C) Who did you say \_\_\_ \_\_\_ knows Mary?
- D) \* Who did you say that \_\_\_ knows Mary?

How can the *inability* to ask the question in (D) (whatever the cause) *increase* your ability communicate anything?

# Another simple example

- A) I said that Mary kissed Peter.
- B) Who did you say that Mary kissed \_\_\_ ?
- C) Why did you say that Mary kissed Peter?
  
- D) I wonder if Mary kissed Peter.
- E) \*Who do you wonder if Mary kissed \_\_\_ ?
- F) Why do you wonder if Mary kissed Peter?

# Some ‘locality’ condition

- Allows question formation ‘long-distance’ across a declarative complementizer like ‘that’
- But prevents a comparable question across a question complementizer like ‘if’ (or ‘whether’, ‘why’, etc.)
- Whatever the ultimate reason is for this condition...
- How can it *increase* communicative abilities if it *reduces* your class of messages?

# Alright, but perhaps the stupid condition is there just to...

- Make your message clearer?  
(Why is it clear enough in the case of declaratives and other comparable instances?)
- Make your performance easier?  
(Ditto: why not, then, prevent *all* long distance questions.)

# Take an even simpler instance

- *I want to shoot that ball.*
- *I wanna shoot that ball.*
- *I want Coby to shoot that ball.*
- *\*I wanna Coby shoot that ball.*
- *What do you want to shoot?*
- *What do you wanna shoot?*
- *Who do you want to shoot that ball?*
- *\*Who do you wanna shoot that ball?*

# Another (in)famous example (don't tell anybody...)

- *This is fan-freaking-tastic.*
- *\*This is fantas-freaking-tic.*

When did anybody teach you this? How did you figure it out?

# **Taxi-cab drivers (and other amateurs) like to try theories about all of this**

- In turn, professional linguists in the twentieth century have developed pretty elaborate edifices about syntactic structure...
- Some of these, even, address the key question of feasibility: how the assumed apparatus can be acquired by a human infant (and not a cat).
- Although we won't be able to present this in any generality, the overall flavor follows (and you can take a class in Linguistics for details!)

# Knowledge of Language

- Is mostly effortless and even unconscious
- Is in place very rapidly (by early childhood)
- Cannot be mastered after a critical age (usually around puberty)
- Can be specifically damaged through injuries to specific brain areas
- Can decay with certain illnesses
- Can be (partially) absent in instances of genetic defects



# Those characteristics are not unique to human language

- Imprinting in, e.g., ducklings.
- Mating songs in, e.g., white-crowned sparrows.
- Seeing in cats and many other mammals.
- Bee dances.
- Etc.

**What might be unique:**

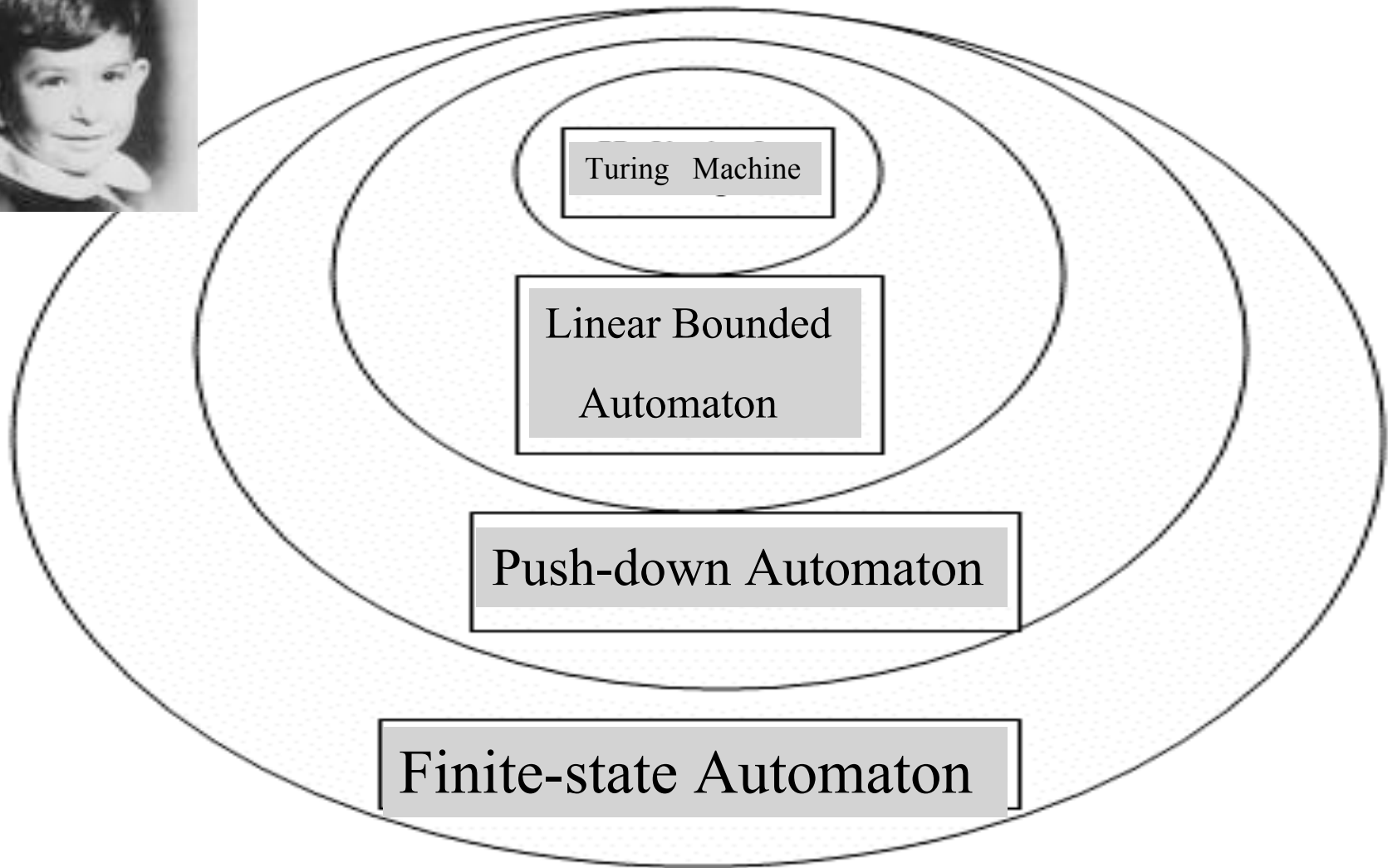
- Creativity
- Remoteness
- Plasticity

If so, we must understand the nature of these...

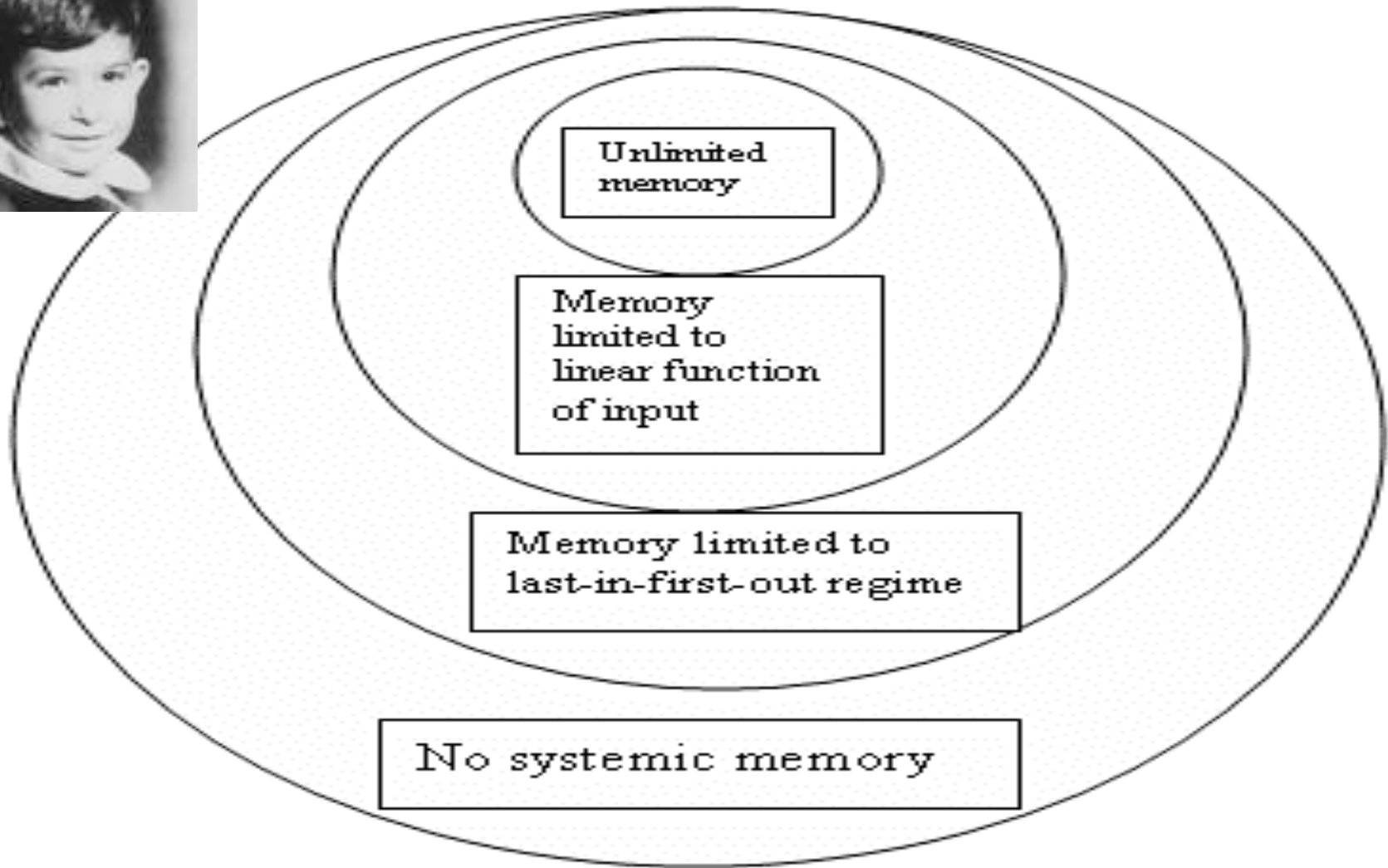
# Syntactic Boundary Conditions

- a. Syntactic dependencies arrange themselves in terms of formal objects that can be quite *high within the Chomsky Hierarchy*.
- b. Context-sensitive dependencies are generally *triggered*, *structure-dependent*, and limited by *locality* considerations.
- c. Semantic dependencies are determined by syntactic dependencies and obey definite mapping principles.
- d. Morphological variation, of the sort patent across languages, in many instances involves *uninterpretable* elements.
- e. Core language acquisition involves the fixation of a few fixed, normally morphological, *syntactic options* ('parameters').

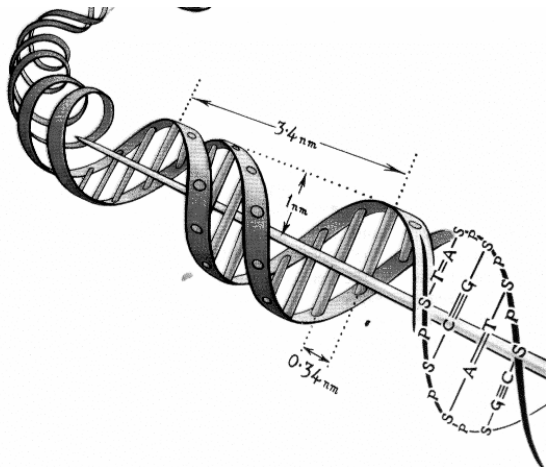
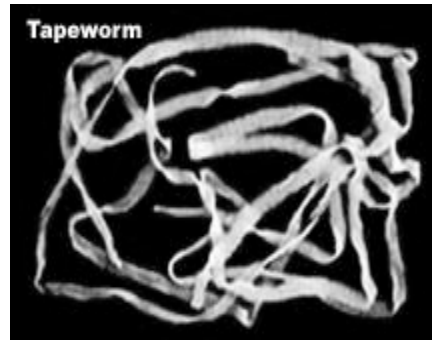
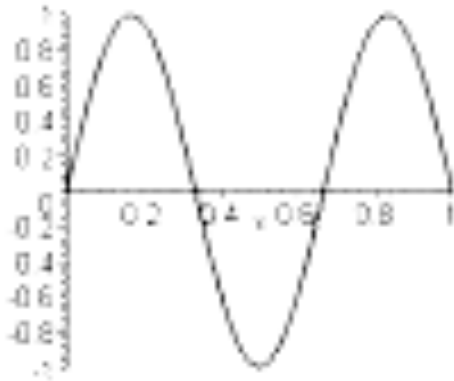
*a. high within the Chomsky Hierarchy.*



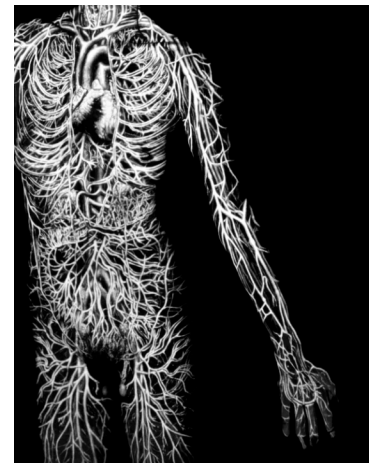
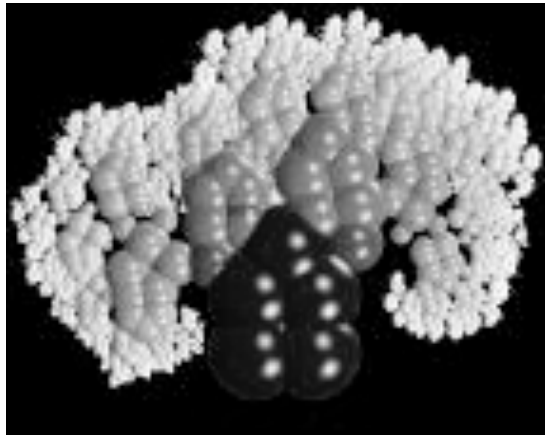
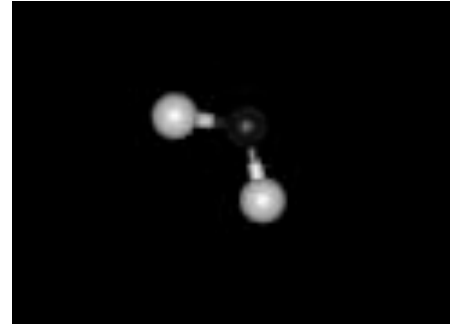
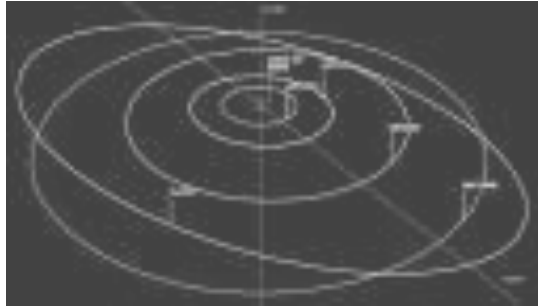
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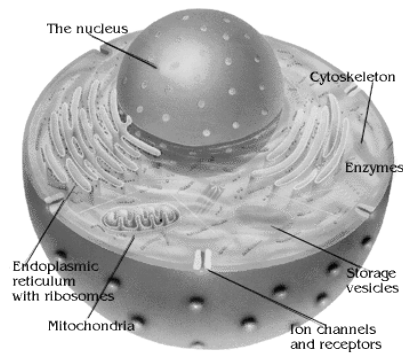
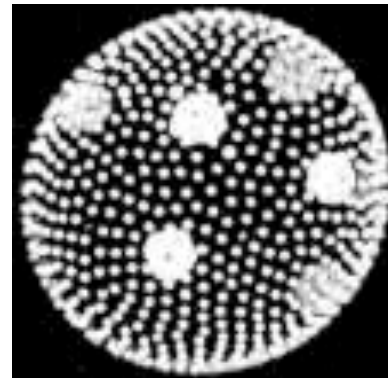
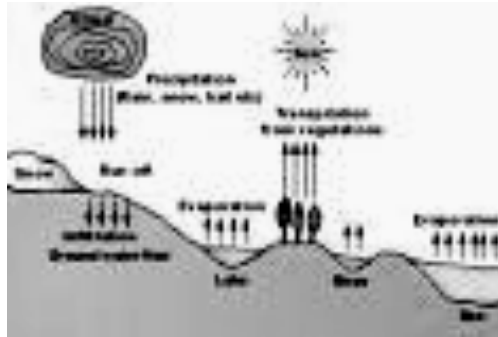
# Intuitively: Strings



# Intuitively: Hierarchically organized



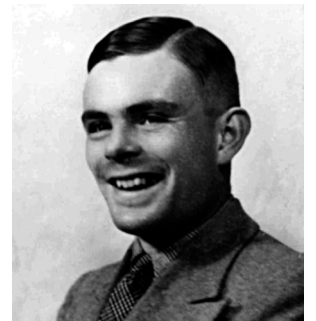
# Intuitively: Entangled Structures





# All generative models start from a computational approach

- Involving a *logical processor* writing on an *unlimited memory tape*,
- Two components that interact until the system halts.
- Depending on how algorithms implementing a given grammar access memory, different possibilities emerge.



# **Structures thus generated imply one another**

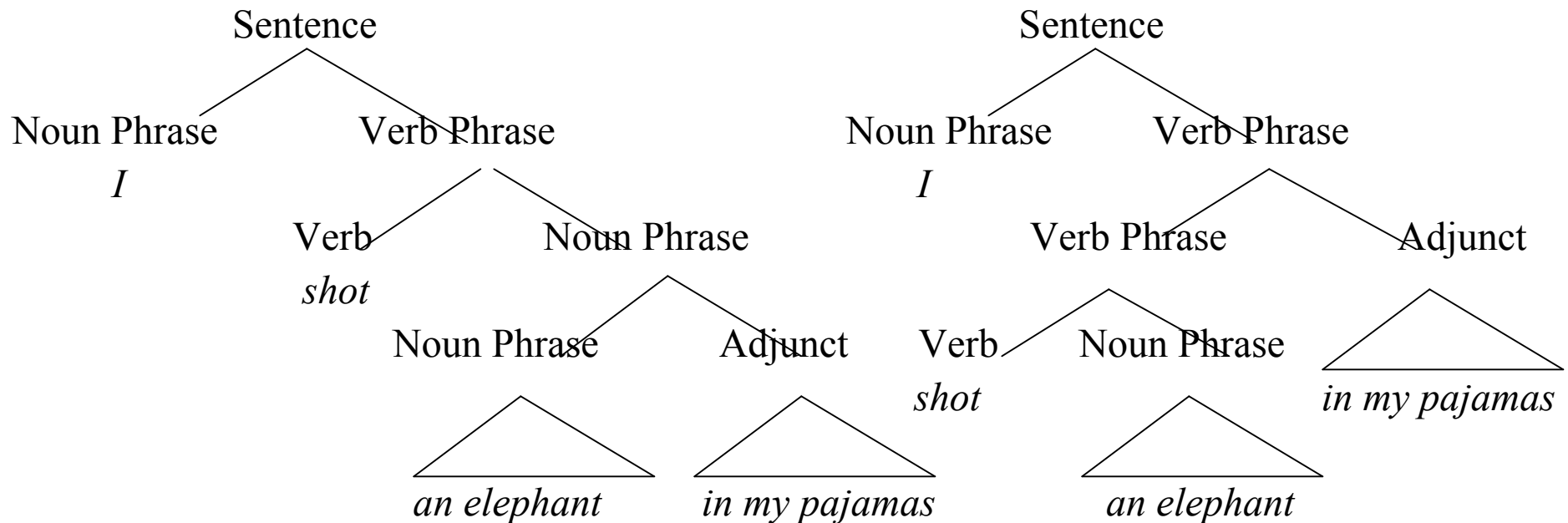
- Properties described by more intricate devices presuppose those generated by lesser ones.
- Chomsky demonstrated how natural languages are positively context-free, and arguably context-sensitive systems.
- But let's see some examples...

# Finite-state structures

- *No, no, no, no!* (King Lear, V, 3).
- *Monday, Tuesday, Wednesday, Thursday, Friday, Saturday, Sunday...*
- Partial: I'm *very very very* tired.
- Partial: *Never, never, never surrender!*

# Standard Push-Down Automata or PDA (phrasal) structures:

- ‘I shot an elephant on my pajamas!’



‘How he ever got into my pajamas, I’ll never know...’



# But obviously there's more...

Examine these sentences:

- (1) *Jack was arrested (by the police).*  
cf. *The police arrested Jack.*
- (2) *Will you handle this problem?*  
cf. *You will handle this problem.*
- (3) *This problem, you will handle.*  
cf. *You will handle this problem.*
- (4) *Peter loves Mary and so does Bill*  
(i.e. *love Mary*).





## Faced with that situation

- In 1955 Chomsky made the brave move of suggesting that human sentences are ‘entangled’, context-sensitive structures.
- That is, a sentence is not just a collection of phrases.
- It is, rather, a collection of phrase-collections, one in particular relating ‘basic’ structures to ‘derived’ structures.

# Context-sensitive operations:

- Movement:

I can handle this → Can I \_\_\_ handle this?  
←

I can handle this → This I can handle \_\_\_  
←

- Deletion:

Peter [loves Mary] & so does Bill love Mary  
←

Note: Context-sensitivity is signaled by the arrows in each instance

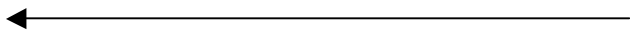
# On the reality of ‘movement’ gaps

- Remember the ‘*wanna* contraction’ contrast between (1) and (2)?
- (1) *I wanna shoot the ball.*
- (2) \**Who do you wanna shoot the ball?*



# Now we have a possible explanation for the contrast

- Who do you want \_\_\_ to shoot that ball?



By hypothesis: the item *who* has been displaced from the subject of *to shoot* to the beginning of the sentence. We have found evidence to think, however, that in some sense it has left some ‘trace’ there.